

Rutgers, The State University of New Jersey

Instructions For Filing “Outstanding Professor/ Researcher” Preference Petition

(for “Priority Worker” application; no Labor Certification required)

Please note: University support for filing this type of application does not reflect a University evaluation of the merits of any individual employee, nor does failure to support the filing of this type of application reflect a negative University evaluation. This application will be filed directly with the U.S. Citizenship and Immigration Services (USCIS) by the Office of the Newark Coordinator for International Faculty. Once it is approved, the applicant may apply for permanent residence (“green card”) as soon as a “priority date” is available, which in most cases will be immediately; however, the “green card” processing can then take up to two years after application is made, depending on backlogs at the USCIS. The alien may choose to follow “Additional Instructions” for concurrent filing as provided on page 3 of this form.

The following documents must be submitted to the Newark Coordinator of International Faculty in duplicate original plus one photocopy (*except Form I- 140, as noted in item 1 below*), i.e., in **DUPLICATE:**

1. Form I-140: download and print from USCIS website at: <http://www.uscis.gov/files/form/i-140.pdf>.

One original only plus \$ 580 filing fee in the form of a university check payable to “Dept. of Homeland Security.”

2. Form I-907: This form is optional and only necessary if you are seeking “Premium Processing” service to expedite the processing of form I-140. For an additional \$1225 USCIS guarantees a response in 15 days from the date of the USCIS receives the I-140 petition. Please note the \$1225 fee is not the University’s responsibility. The form is downloadable at: <http://www.uscis.gov/files/form/I-907.pdf> (Please check with the Newark Coordinator for eligibility to use this service)

NOTE: for the “vendor address” in RIAS, put: “USCIS Texas Service Center, Mesquite, TX 75185”

3. A strong letter from department chair or director- two pages minimum- describing the employee’s outstanding credentials, including the number of years of teaching/research experience he or she has (minimum is three years for this category). The letter should explain how the applicant “stands apart in the academic community through eminence and distinction based on international recognition.” It should list the employee’s outstanding professional achievements, highlight examples

of the applicant's international renown, and cite major contributions to his/her field. As this application is not judged on the employer's documentation of a bona fide recruitment effort, no description of the search efforts need to be given. The letter should also state the terms of the appointment, i.e., tenured or tenure track and current annual salary.

A copy of the chair's letter should be sent to the Dean's Office with the following:

- * the individual's CV;
- * a request for the dean to add an endorsement memo for "Outstanding Professor/Researcher." The dean's letter should be added to the packet.

4. Copies of diplomas and awards in duplicate, with certified translations (also in duplicate) if needed. Immigration requires the alien to sign each photocopy of each diploma and award with the following statement:

"Copies of documents submitted are exact photocopies of unaltered original documents and I understand that I may be required to submit original documents to an immigration or consular official at a later date."

(alien's signature)

(date)

5. CV in duplicate

6. Evidence (in duplicate) that the alien has at least three year's teaching and/or research experience as appropriate for the position. Regulations stipulate that this should be in the form of an employment confirmation letter, and must include the name, address, and title of the writer, and a specific description of the duties performed by the alien. Please note: research performed to meet doctoral degree requirements will usually not qualify towards the 3 yrs.' experience.

7. Evidence (in duplicate with applicant's name highlighted on each copy) of applicant's international recognition to include items from *at least two of the following categories*:

- documentation of the alien's receipt of major prizes or awards for outstanding achievement in his/her field;
- documentation of the alien's membership in associations in his/her field which require outstanding achievements of their members, i.e., not associations which any interested party can join for a fee;
- published material in professional publications written by others about the alien's work. Such material must include the title, date, and author of the material, and any necessary translations;
- evidence of the alien's participation on a panel, or individually, as the judge of the work of others in his/her academic field or in an allied field;
- evidence of the alien's original scientific or scholarly research contributions to his/her field;

- ❑ evidence of the alien's authorship of scholarly books or articles in scholarly journals with international circulation.

8. Any additional proof, in duplicate, with the applicant's name highlighted on each copy, of the alien's international renown, *including but not limited to*:

- ❑ letters of reference from scholars in the field (include a list briefly describing the international stature of the referees as well; also attach CV's of the references);
- ❑ proof of translation of his/her works into other languages;
- ❑ proof of his/her having been invited to speak at international conferences;
- ❑ copies of award certificates, recognition letters, or other prestigious citations.

Very Important! For any item of documentation with which a layperson such as a USCIS adjudicator would not be familiar, provide independent evidence of how the documentation demonstrates the individual's "outstanding" qualifications. For example, an award that is not known outside the field could include something from the award granting organization's website which describes the nature and competitiveness of the award, the number of awardees per year, etc. Bear in mind that a simple statement from Rutgers explaining the above facts will not offer USCIS the authenticity that documentation from an independent source will offer.

Departmental Checklist for "Outstanding Professor Researcher" Petition:

Please be sure you have

- ❑ presented evidence that the alien possesses the required min. 3 years' experience
- ❑ gathered documentation from at least two of the following categories
- ❑ submitted ALL documentation in duplicate
- ❑ highlighted the alien's name on every copy
- ❑ documented at least two (and preferable more) of the categories listed in item 7 above. Note that two categories are the absolute minimum requirements for classification under this category; if only two are met, there is a high likelihood that the application will not be approved by USCIS.
- ❑ provided independent explanations of important documentation to contextualize and explain the documentation to USCIS

ADDITIONAL INSTRUCTIONS IF ALIEN WISHES TO APPLY FOR THE GREEN CARD CONCURRENTLY WITH THIS EMPLOYER-SPONSORED PETITION

Because of excessive delays caused by backlogs at their service centers, USCIS currently allows alien applicants whose employers submit form I-140 petitions to concurrently file the following forms if they choose to:

I-485, Application for Permanent Residence (one form each for alien, spouse, and children under 21). Once filed and until the approval of the I-485 application, dependents cannot travel abroad without applying for and receiving USCIS-authorized "Advance Parole." The alien can continue traveling on H-1B. The form is available online at: <http://www.uscis.gov/files/form/i-485.pdf>

I-131, Application for Advance Parole. This permits each approved alien to travel abroad and reenter the US while the I-485 is pending at USCIS. The form is available at : <http://www.uscis.gov/files/form/I-131.pdf>.

I-765, Application for Employment Authorization. This permits unrestricted employment authorization for people with pending I-485 applications. Employment is authorized for 12 months at a time and may be renewed until the I-485 is adjudicated. The form is available at: <http://www.uscis.gov/files/form/I-765.pdf>

Should the I-140 petition being filed by Rutgers be denied for any reason, an alien who has applied for and used "Advanced Parole" and/or unrestricted employment authorization would automatically be considered out of status and subject to immediate departure requirements, Aliens should therefore confer with the Newark Coordinator prior to deciding on whether or not to submit these concurrent applications. Forms, fees, amounts and instructions for these three forms may also be downloaded from the USCIS website at www.uscis.gov.

* Department of Homeland of Security filing fees and forms have recently changed (and they do change frequently)- the alien should obtain the most current version of each form via the links provided earlier in this document or by visiting the USCIS website at www.uscis.gov. Please verify the current fee for each form by checking the USCIS website at: <http://www.uscis.gov/files/nativedocuments/G-1055.pdf>.

March 2014

Sample I-140
page 1 of 6
Immigrant Petition for Alien Worker



Department of Homeland Security
U.S. Citizenship and Immigration Services

USCIS
Form I-140
OMB No. 1615-0015
Expires 03/31/2016

For USCIS Use Only	Fee Stamp	Priority Date	Consulate	Action Block
	Classification <input type="checkbox"/> 203(b)(1)(A) Alien of Extraordinary Ability <input type="checkbox"/> 203(b)(1)(B) Outstanding Professor or Researcher <input type="checkbox"/> 203(b)(1)(C) Multinational Executive or Manager <input type="checkbox"/> 203(b)(2) Member of Professions with Advanced Degree/Exceptional Ability <input type="checkbox"/> 203(b)(3)(A)(i) Skilled Worker <input type="checkbox"/> 203(b)(3)(A)(ii) Professional <input type="checkbox"/> 203(b)(3)(A)(iii) Other Worker		Certification <input type="checkbox"/> National Interest Waiver (NIW) <input type="checkbox"/> Schedule A, Group I <input type="checkbox"/> Schedule A, Group II	
Remarks				

▶ START HERE - Type or print in black ink.

Part 1. Information About the Person or Organization Filing This Petition (If an individual is filing, use numbers 1.a. - 1.c. If a Company or Organization is filing, use number 2).

1.a. Family Name (Last Name)

1.b. Given Name (First Name)

1.c. Middle Name

2. Company or Organization Name

Mailing Address

5.a. In Care of Name

5.b. Street Number and Name

5.c. Apt. Ste. Flr.

5.d. City or Town

5.e. State 5.f. Zip Code

5.g. Postal Code

5.h. Province

5.i. Country

Other Information

3. IRS Tax Number (must be 9 digits; no dashes) ▶

4. U.S. Social Security Number (if any) (must be 9 digits; no dashes) ▶

Part 2. Petition Type

This petition is being filed for: (Select only one box):

- OR
- 1.a. An alien of extraordinary ability.
- 1.b. An outstanding professor or researcher.
- 1.c. A multinational executive or manager.
- 1.d. A member of the professions holding an advanced degree or an alien of exceptional ability (who is NOT seeking a National Interest Waiver).
- 1.e. A professional (at a minimum, possessing a bachelor's degree or a foreign degree equivalent to a U.S. bachelor's degree).
- 1.f. A skilled worker (requiring at least 2 years of specialized training or experience).

- 1.g. Any other worker (requiring less than 2 years of training or experience).
- 1.h. (Reserved)
- 1.i. An alien applying for a National Interest Waiver (who IS a member of the professions holding an advanced degree or an alien of exceptional ability).

Check below if this petition is being filed:

- 2.a. To amend a previously filed petition.
Previous Petition Receipt Number:

▶

- 2.b. For the Schedule A, Group I or II designation.

Part 3: Information About the Person for Whom You Are Filing

1.a. Family Name (Last Name)

1.b. Given Name (First Name)

1.c. Middle Name

Mailing Address

2.a. In Care of Name

2.b. Street Number and Name

2.c. Apt. Ste. Flr.

2.d. City or Town

2.e. State 2.f. Zip Code

2.g. Postal Code

2.h. Province

2.i. Country

Other Information

3. E-mail Address (if any)

4. Daytime Phone Number () -

5. Date of Birth (mm/dd/yyyy)

6. City/Town/Village of Birth

7. State/Province of Birth

8. Country of Birth

9. Country of Citizenship

10. Country of Nationality

11. Alien Registration Number (A-Number)

12. U.S. Social Security Number (if any) must be 9 digits; no dashes

If in the United States, please provide the following (complete all sections, as applicable):

13. Date of Arrival (mm/dd/yyyy) **most recent**

14.a. I-94 Arrival-Departure Record Number: **most recent**

14.b. Passport Number

14.c. Travel Document Number

14.d. Country of Issuance for Passport or Travel Document

14.e. Expiration Date for Passport or Travel Document (mm/dd/yyyy)

15. Current Nonimmigrant Status

16. Date Status Expires: (mm/dd/yyyy)

Please provide copy of I-94 and H-1B approval notice

Part 4: Processing Information

Complete the following for the person named in Part 3: (Check one)

1.a. Alien will apply for a visa abroad at a U.S. Embassy or consulate at:
 City or Town
 Country

1.b. Alien is in the United States and will apply for adjustment of status to that of lawful permanent resident.

Alien's country of current residence or, if now in the United States, last country of permanent residence abroad.

Part 4. Processing Information (continued)

If you provided a United States address in Part 3, provide the person's foreign address:

2.a. Street Number and Name

2.b. Apt. Ste. Flr.

2.c. City or Town

2.d. Postal Code

2.e. Province

2.f. Country

If the person's native alphabet is other than Roman letters, write the person's foreign name and address in the native alphabet:

3.a. Family Name (Last Name)

3.b. Given Name (First Name)

3.c. Middle Name

Mailing Address

3.d. Street Number and Name

3.e. Apt. Ste. Flr.

3.f. City or Town

3.g. Postal Code

3.h. Province

3.i. Country

4. Are any other petition(s) or application(s) being filed with this Form I-140? Yes No

If you answered "Yes," check any applicable boxes:

- Form I-485
- Form I-131
- Form I-765
- Other-Attach an explanation

5. Is the person for whom you are filing in removal proceedings? Yes - Attach an explanation No

6. Has any immigrant visa petition ever been filed by or on behalf of this person? Yes - Attach an explanation No

7. Is the petition being filed without an original labor certification because the original labor certification was previously submitted in support of another Form I-140? Yes - Attach an explanation No

8. If the petition is being filed without an original labor certification, are you requesting that USCIS request a duplicate labor certification from the Department of Labor? Yes - Attach an explanation No

If you answered "Yes" to any of questions 4 through 8, provide the case number, office location, date of decision, and disposition of the decision on a separate sheet of paper.

Part 5. Additional Information About the Petitioner

Type of petitioner (Select only one box):

- 1.a. Employer
- 1.b. Self
- 1.c. Other (Explain, e.g., Permanent Resident, U.S. citizen or any other person filing on behalf of the alien)

If a company, give the following:

2.a. Type of Business

2.b. Date Established (mm/dd/yyyy)

2.c. Current Number of U.S. Employees

2.d. Gross Annual Income

2.e. Net Annual Income

2.f. NAICS Code

2.g. Labor Certification DOL/ETA Case Number

Part 5. Additional Information About the Petitioner (continued)

2.h. Labor Certification DOL/ETA Filing Date

(mm/dd/yyyy) ▶

[Empty box for filing date]

If an individual, give following:

3.a. Occupation

[Empty box for occupation]

2.i. Labor Certification Expiration Date

(mm/dd/yyyy) ▶

[Empty box for expiration date]

3.b. Annual Income

[Empty box for annual income]

Part 6. Basic Information About the Proposed Employment

1. Job Title

[Empty box for job title]

2. SOC Code

[Empty box for SOC code]

3. Nontechnical Description of Job

[Empty lines for job description]

6. Is this a permanent position?

Yes No

7. Is this a new position?

Yes No

8. Wages: \$

[Empty box for wages]

per

[Empty box for unit]

(Specify hour, week, month, or year)

Address where the person will work if different from address in Part 1.

9.a. Street Number and Name

[Empty box for street address]

9.b. Apt. Ste. Flr.

[Empty box for apartment/ste/flr]

9.c. City or Town

[Empty box for city/town]

9.d. State

[Empty box for state]

9.e. Zip Code

[Empty box for zip code]

4. Is this a full-time position?

Yes No

5. If the answer to Number 4 is "No," how many hours per week for the position?

[Empty box for hours per week]

Part 7. Information on Spouse and All Children of the Person for Whom You Are Filing

List husband/wife and all children related to the individual for whom the petition is being filed. Also, note if the individual will be applying for a visa abroad or for adjustment of status as the dependent of the individual for whom the petition is filed. Provide an attachment of additional family members, if needed.

Person 1

1.a. Family Name (Last Name)

[Empty box for family name]

1.b. Given Name (First Name)

[Empty box for given name]

1.c. Middle Name

[Empty box for middle name]

1.d. Date of Birth (mm/dd/yyyy) ▶

[Empty box for date of birth]

1.e. Country of Birth

[Empty box for country of birth]

1.f. Relationship

[Empty box for relationship]

1.g. Applying for Adjustment of Status? Yes No

1.h. Applying for Visa Abroad? Yes No

Person 2

2.a. Family Name (Last Name)

[Empty box for family name]

2.b. Given Name (First Name)

[Empty box for given name]

2.c. Middle Name

[Empty box for middle name]

2.d. Date of Birth (mm/dd/yyyy) ▶

[Empty box for date of birth]

2.e. Country of Birth

[Empty box for country of birth]

2.f. Relationship

[Empty box for relationship]

2.g. Applying for Adjustment of Status? Yes No

2.h. Applying for Visa Abroad? Yes No

Part 7. Information on Spouse and All Children of the Person for Whom You Are Filing (continued)

Person 3

3.a. Family Name (Last Name)

3.b. Given Name (First Name)

3.c. Middle Name

3.d. Date of Birth (mm/dd/yyyy) ▶

3.e. Country of Birth

3.f. Relationship

3.g. Applying for Adjustment of Status? Yes No

3.h. Applying for Visa Abroad? Yes No

Person 5

5.a. Family Name (Last Name)

5.b. Given Name (First Name)

5.c. Middle Name

5.d. Date of Birth (mm/dd/yyyy) ▶

5.e. Country of Birth

5.f. Relationship

5.g. Applying for Adjustment of Status? Yes No

5.h. Applying for Visa Abroad? Yes No

Person 4

4.a. Family Name (Last Name)

4.b. Given Name (First Name)

4.c. Middle Name

4.d. Date of Birth (mm/dd/yyyy) ▶

4.e. Country of Birth

4.f. Relationship

4.g. Applying for Adjustment of Status? Yes No

4.h. Applying for Visa Abroad? Yes No

Person 6

6.a. Family Name (Last Name)

6.b. Given Name (First Name)

6.c. Middle Name

6.d. Date of Birth (mm/dd/yyyy) ▶

6.e. Country of Birth

6.f. Relationship

6.g. Applying for Adjustment of Status? Yes No

6.h. Applying for Visa Abroad? Yes No

Part 8. Signature of Petitioner

I certify, under penalty of perjury under the laws of the United States of America, that this petition and the evidence submitted with it are all true and correct. I authorize U.S. Citizenship and Immigration Services (USCIS) to release to other government agencies any information from my USCIS records, if USCIS determines that such action is necessary to determine eligibility for the benefit sought.

1.a. Signature of Petitioner

1.b. Date of Signature (mm/dd/yyyy) ▶

2. Daytime Phone Number (973) 383-6213

3. Mobile Phone Number () -

4. E-mail Address (if any) sakasper@andromeda.rutgers.edu

5. Job Title of Position with Petitioning Employer, if the Petition is Being Filed by an Employer Assistant DEAN

NOTE: If you do not fully complete this form or fail to submit the required documents listed in the instructions, a final decision on your petition may be delayed or the petition may be denied.

employing department who completed this form.

Part 9. Signature of Person Preparing This Petition, If Other Than the Petitioner

1. Attorney or Representative: In the event of a Request for Evidence (RFE), may USCIS contact you by e-mail?

Yes No

Preparer's Full Name

Provide the following information concerning the preparer:

2.a. Preparer's Family Name (Last Name)

[Text box for family name]

2.b. Preparer's Given Name (First Name)

[Text box for given name]

3. Preparer's Business or Organization Name

[Text box for business name]

Preparer's Contact Information

4. Preparer's Daytime Phone Number Extension

[Phone number input fields]

5. Preparer's E-mail Address (if any)

[E-mail address input box]

Preparer's Mailing Address

6.a. Street Number and Name

[Text box for street address]

6.b. Apt. Ste. Fl.

[Text box for apartment/steet/flat]

6.c. City or Town

[Text box for city/town]

6.d. State

[State dropdown]

6.e. Zip Code

[Zip code input box]

6.f. Postal Code

[Postal code input box]

6.g. Province

[Province input box]

6.h. Country

[Country input box]

Declaration

To be completed by all preparers, including attorneys and authorized representatives: I declare that I prepared this petition at the request of the petitioner, that it is based on all the information of which I have knowledge, and that the information is true to the best of my knowledge.

7.a. Signature of Preparer

[Signature line]

7.b. Date of Signature (mm/dd/yyyy)

[Date input box]

April 13, 2010

U.S. Citizenship and Immigration Services
Texas Service Center
Mesquite, TX 75185

Dear Sirs and Madams:

I am writing to support the application of [REDACTED] for Permanent Residency status in the United States.

The position requires an authority in the area of [REDACTED] and [REDACTED]. A Ph.D. in [REDACTED] is required as well as a demonstrated capacity to teach and do research in these areas.

Ms. [REDACTED] has been awarded her Ph.D. by New York University in June 2009. Her dissertation is entitled "Essays on the Intergenerational Transmission of [REDACTED] Status," written under the supervision of Professor Debraj Ray. Using data from the Panel Study of Income Dynamics, she estimates a model of parents' human capital investments in their children to assess why black sons experience a lower probability of upward mobility with respect to their fathers' incomes than do their white counterparts. Her results find that variations in parental incomes during childhood, caused by the instability of families and temporary income shocks, can generate the observed mobility gap between blacks and whites. Eliminating these differences in parental income would imply eventual convergence of black and white men's average earnings over time, while continuing differences perpetuate the mobility gap which will cause mean earnings of blacks to fall further relative to the earnings of whites.

In related work, titled "Parental Aspirations and Social Effects," she again uses data from the Panel Study of Income Dynamics to separate the effects of parental aspirations for their children from peer-group effects on children's reading and mathematics test scores. Controlling for income, parents' education and grandparent characteristics, she finds that peer-group effects (that is, pressures of conformity) matter more for black children than for white children. This has implications for the intergenerational transmission of parental aspirations for their children.

In the paper "The Power of Exports" (which is joint work with William Easterly and Ariell Reshef), she documents the remarkably high degree of concentration in manufacturing exports for a sample of 151 countries over a range of 3,000 products. For every country, manufacturing exports are dominated by a few "big hits" which account for most of a country's export value and volume. The distribution of exports is shown to closely follow a power law, especially in the upper tail of the distribution. The implication of these findings is that a policy of "picking winners" for export development would not be a successful development strategy. Moreover, given the size of the

economy, developing countries are more exposed to demand shocks than rich ones, which further lowers the benefits from trying to pick winners.

Ms. [REDACTED] has been gaining a national and international reputation for her work. She presented the results of her recent development research in the applied [REDACTED] seminar series at New York University in fall 2008 and at the Bocconi Graduate Student Workshop in Florence, Italy in summer 2005. This past summer she also presented her work at a conference in Buenos Aires, Argentina.

At Rutgers, Ms. [REDACTED] is now teaching both undergraduate and graduate courses in her specialty of [REDACTED]. In addition, she also teaches [REDACTED] and [REDACTED] to our undergraduate students. At New York University as a graduate student, she had extensive teaching experience in all of these subjects.

The [REDACTED] Department believes that Ms. [REDACTED] continued employment with us is of the greatest importance and will contribute substantially to our efforts to achieve and maintain excellence in teaching and research.

Sincerely yours,

[REDACTED]

[REDACTED]
Professor and Chairperson
Department of [REDACTED]